**Анкета клиента, находящегося на брокерском обслуживании**

**(физического лица)**

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|  | *Первичное оформление анкеты* |  | *Внесение изменений* |

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| Фамилия Имя Отчество | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Дата рождения | | | |  | | | | | | | | | | | | | | | | | Гражданство | | | | | | | |  | | | | | | | | | | | | | | | | | | ИНН | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Документ, удостоверяющий личность | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | Серия документа | | | | | | | | | | |  | | | | | | | | Номер документа | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | |
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| Дата выдачи | | | |  | | | | | | | | | | | | | | | | | Орган, выдавший документ  и код подразделения | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Наименование документа, подтверждающего право иностранного гражданина или лица без гражданства на пребывание (проживание) в РФ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Серия документа | | | | | |  | | | | | | | | | | | | | | Номер документа | | | | | | | | | | | | | |  | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Срок действия права пребывания (проживания) | | | | | | | | | | | | | | | | | | | | | | | Дата начала | | | | | | | | |  | | | | | | | | | | | | | | | | | Дата окончания | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | |
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| Адрес регистрации | | | | | | |  | | | | | | | | | | | | | | | | |  | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Расчетные счета Клиента | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (в рублях) | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | | |  | | | |  | |  | | |  | |  | |  | | | |  | |  | |  | | |  | |  | | |  | | | | |  | | | |  | | |  | | | |  | | |  | |  | | | | |
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| Наименование банка/ИНН банка | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | | |  | | | |  | |  | | |  | |  | |  | | | |  | |  | |  | | |  | |  | | |  | | | | |  | | | |  | | |  | | | |  | | |  | |  | | | | |
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| Корреспондентский счет банка | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | | |  | | | |  | |  | | |  | |  | |  | | | |  | |  | |  | | |  | |  | | |  | | | | |  | | | |  | | |  | | | |  | | |  | |  | | | | |
| БИК | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | | | | |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  | | |  | |  | | |  | |  | | |  | | | | |  | | | |  | | |  | | | |  | | |  | |  | | | | |
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| **Способ получения доходов (выплат) по Ценным бумагам:**  **Счет для получения доходов от погашения купонов и доходов в виде дивидендов по Ценным бумагам:**  **по Договору о брокерском обслуживании**   |  |  |  |  |  | | --- | --- | --- | --- | --- | |  | | Лицевой счет | | | |  | | Расчетный счет Клиента в АО «АБ «РОССИЯ» (в рублях), указанный выше | | | |  | |  |   **по Договору индивидуального инвестиционного счета[[1]](#footnote-1)**   |  |  | | --- | --- | |  | Лицевой счет | |  | Расчетный счет Клиента в АО «АБ «РОССИЯ» (в рублях), указанный выше | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| **Способ для направления отчетов Клиенту (указать не более одного)**  - лично (через - E-mail \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ - почтовая связь  представителя) указать адрес электронной почты (не более одного) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| **Адрес электронной почты для направления сообщений о КД (корпоративных действиях):** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Поле для обязательного заполнения | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Настоящая Анкета заполнена Клиентом/Представителем Клиента\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Фамилия, имя, отчество лица, заполнившего Анкету   |  |  |  | | --- | --- | --- | | Подпись лица, заполнившего настоящую Анкету |  | «\_\_\_» \_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_ года |   Содержащиеся в настоящей Анкете персональные данные обрабатываются в соответствии с требованиями Федерального закона от 27.07.2006 № 152-ФЗ «О персональных данных» оператором: Акционерное общество «Акционерный Банк «РОССИЯ» (далее – оператор), расположенным по адресу: 191124, г. Санкт-Петербург, пл. Растрелли, д. 2, стр. 1.  Все содержащиеся в настоящей Анкете персональные данные получены оператором от лица, заполнившего настоящую Анкету.  Обработка персональных данных осуществляется оператором с целью оказания Клиенту брокерских услуг.  Обработка персональных данных осуществляется оператором как с использованием средств автоматизации, так и без их использования, путем совершения таких действий с персональными данными как сбор, запись, систематизация, накопление, хранение, уточнение (обновление, изменение), передача (предоставление, доступ), извлечение, использование, удаление, уничтожение.  Содержащиеся в настоящей Анкете персональные данные обрабатываются оператором с момента подписания настоящей Анкеты лицом, заполнившим настоящую Анкету, и до истечения 5 (пяти) лет с даты прекращения действия договора о брокерском обслуживании, заключенного между оператором и Клиентом с целью оказания Клиенту брокерских услуг, если иное не установлено законодательством Российской Федерации.  На обработку персональных данных, указанных в настоящей Анкете, как с использованием, так и без использования средств автоматизации, согласен (согласна). | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Подпись лица, заполнившего настоящую Анкету | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | «\_\_\_» \_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_ года | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

СЛУЖЕБНЫЕ ОТМЕТКИ БАНКА

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| Принято Банком   «\_\_\_\_\_\_» \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_20\_\_\_ г.  ФИО принявшего лица\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  К Анкете прилагаются:  Копия паспорта  Копия Свидетельства о постановке на учет в налоговом органе (ИНН)  Заявление на брокерское обслуживание  Заявление на заключение договора ИИС  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Подпись лица, принявшего документы\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

1. Заполняется при заключении Договора индивидуального инвестиционного счета. [↑](#footnote-ref-1)